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# Information technology — OpenChain security assurance specification

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# Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted (see www.iso.org/directives or www.iec.ch/members_experts/refdocs).

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This document was prepared by the Joint Development Foundation (JDF) (as OpenChain Security Assurance Specification 1.1) and drafted in accordance with its editorial rules. It was adopted, under the JTC 1 PAS procedure, by Joint Technical Committee ISO/IEC JTC 1, *Information technology*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html and www.iec.ch/national-committees.

# Introduction

The OpenChain Project (see [4]) is working towards a supply chain where open source is delivered with trusted and consistent compliance information. As part of this mission, the OpenChain Project maintains ISO/IEC 5230 (see [1]), the International Standard for open source license compliance. A natural next step in support of the broader mission was to develop a guide to identify and present the minimum core set of requirements every security assurance program should satisfy with respect to the use of open source software.

For context, ISO/IEC 5230 is a process management specification that identifies inbound, internal and outbound inflection points where a process, policy or training should exist. The identification and tracking of software used and deployed is an inherent part of getting this right, and this allows the approach to also be useful for security or export control.

The OpenChain Project community noticed ISO/IEC 5230 being used in the security domain and decided to develop this security specification to satisfy market demand. This specification is intended to identify and describe the key requirements of a quality security assurance program in the context of using open source Software. It focuses on a narrow subset of primary concern: checking open source Software against publicly known security vulnerabilities like CVEs, GitHub/GitLab vulnerability reports, and so on.

This specification focuses on the “what” and “why” aspects of a quality security assurance program rather than delving into to “how” and “when.” This was a conscious decision to ensure flexibility for organizations of any size and in any market to use this specification. This approach, along with the types of processes identified, is built on more than five years of practical, global feedback around the creation and management of such programs. The result is that a company can frame a program that precisely fits their supply chain requirements, scoped to a single product or a complete legal entity, and take this solution to market quickly and effectively.

This specification was derived from [5]. That reference document went through a final approval process via the OpenChain Project’s normal voting practice to transform into this published security specification. The scope of this specification may expand over time based on community feedback.

Clause 4 defines the requirements that a program must satisfy to achieve a core level of security assurance. Each requirement consists of one or more verification materials (i.e., records) that must be produced to satisfy the requirement. Verification materials are not required to be made public, though an organization may choose to provide them to others, potentially under a Non-Disclosure Agreement (NDA).

This specification is maintained by the OpenChain Project. Information about participation in that maintenance is available at https://www.openchainproject.org/community.

# Information technology — OpenChain security assurance specification

## 1 Scope

This specification contains the key requirements of a quality open source software security assurance program that establishes trust between organizations exchanging software solutions comprised of open source software.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

*Free Software Definition*, Free Software Foundation, www.gnu.org/philosophy/free-sw.html

*The Minimum Elements For a Software Bill of Materials (SBOM)*, The United States Department of Commerce, July 12, 2021, https://www.ntia.doc.gov/files/ntia/publications/sbom_minimum_elements_report.pdf

*Open Source Definition*, Open Source Software Initiative, www.opensource.org/osd